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PATENT Customer No. 22,852 Attorney Docket No. 07787.0042

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
James J. MOND et al.) Group Art Unit: 1653
Application No.: 09/874,991) Examiner: Unassigned
Filed: June 7, 2001)
For: IMMUNOSTIMULATORY RNA/DNA HYBRID MOLECULES))

Assistant Commissioner for Patents Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: October 1, 2001

By:

Robert A. Pollock Reg. No. 43,008

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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08887.0042	Serial No.	09/874,991	· · · · · · · · · · · · · · · · · · ·
Applicant	James J. MOND et al.			
Filing Date	June 7, 2001	Group:	1653	

		U.S. PATEN	T DOCUMENTS			
Examiner Privat*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
ly ma	6,239,116 B1	May 29, 2001	Krieg et al.	514	44	
OLI O TOM E	6,214,806 B1	April 10, 2001	Krieg et al.	514	44	
CATENT & TRADE	6,218,371 B1	April 17, 2001	Krieg et al.	514	44	
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FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
			-			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

Examiner		Date Considered
*Examiner:		ot citation is in conformance with MPEP 609; draw line not considered. Include copy of this form with next
Form PTO 1449 Patent and Trademark		Trademark Office - U.S. Department of Commerce